

Cosmology and particle physics Lecture #4 dark matter, baryogenesis, neutrinos, ...

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Outline

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Dark Matter: non-thermal production

- in the primordial plasma of SM particles (via scatterings (freeze-in), gravitino via oscillations): sterile neutrino of 1-50 keV 2 at phase transitions: axion of $10^{-4} - 10^{-7} \, \text{eV}$ Q-balls strangelets (?) during reheating (after inflation?): black holes any guy coupled (only) to inflaton perturbatively: inflaton decays production by external (inflaton) field non-perturbatively: Bose-enhancement of coherent production by external field
 - while the Universe expands:

gravity produces any particles at $H \sim M_X$



A simple example of scalar DM

most general renormalizable coupled to SM:

 Z_2 -invariant Higgs (Φ) portal

$$\Delta \mathscr{L} = \frac{1}{2} g^{\mu\nu} \partial_{\mu} X \partial_{\nu} X - \frac{1}{2} M^2 X^2 + \frac{g^2}{4} X^2 \Phi^{\dagger} \Phi - \frac{\lambda}{4} X^4$$

Options:

• freeze-out:

sufficiently large g^2

$$v\sigma_{hh \to XX} \times n_h \gtrsim H \to \Omega_X \propto \frac{1}{\sigma_0}$$
, with $\frac{g^4}{(4\pi ...)^2 M^2} = \sigma_0 \equiv \sigma v$

• freeze-in:

intermediate g^2

$$\dot{n}_X + 3Hn_X = \sigma_{hh o XX} n_h^2 \ o \ rac{n_X}{s} = \# \int dT rac{n_h^2}{sHT} imes rac{g^4}{T^2} \sim g^4 rac{M_{Pl}}{M} \ o$$

$$\Omega_X \propto g^4 \rightarrow g^2 \approx 10^{-11}$$

still natural...

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Freeze in via gravitational scatterings..?

any particles A in plasma

$$\sigma_{AA \to XX} \propto \frac{T^2}{M_{Pl}^4} \rightarrow \Omega_X \propto M_X \frac{T_i^3}{M_{Pl}^3} \dots$$

assuming $m \ll T_i$

called "unnatural" being dependent on the initial conditions



Free massive scalar field

$$\mathscr{L} = rac{1}{2} g^{\mu
u} \partial_\mu \phi \partial_
u \phi - rac{1}{2} m_\phi^2 \phi^2$$

Homogeneous scalar field in the expanding Universe

 $\ddot{\phi} + \mathbf{3}H\dot{\phi} + m_{\phi}^2\phi = 0$

Two-stage evolution:

$$\begin{array}{ll} m_{\phi} < H(t) & \Longrightarrow & \phi = \phi_i = {\rm const} \\ m_{\phi} > H(t) & \Longrightarrow & \rho = \langle E_k \rangle - \langle E_\rho \rangle = 0 \,, \quad \rho \sim m_{\phi}^2 \phi^2 \propto 1/a^3 \end{array}$$

• dust-like substance in the late Universe, $\Omega \propto m_{\phi}^{1/2} \phi_i^2$ at scales $l > 2\pi/m_{\phi}$ depends on initial conditions perturbations are suppressed at $l > M_{Pl}^{1/2}/(m_{\phi}^{1/2}\rho^{1/4})$ fuzzy DM











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DM from oscillating scalar

 $0 \neq g^2 < 10^{-11}$ Z₂-invariant Higgs (Φ) portal

$$\Delta \mathscr{L} = \frac{1}{2} g^{\mu\nu} \partial_{\mu} X \partial_{\nu} X - \frac{1}{2} M^2 X^2 + \frac{g^2}{4} X^2 \Phi^{\dagger} \Phi - \frac{\lambda}{4} X^4$$

Higgs particles in plasma change the potential:

$$g^2 X^2 \Phi^{\dagger} \Phi \rightarrow g^2 X^2 T^2/3$$

 Z_2 symmetry is broken after reheating by the plasma contribution



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Temperature decrease restores Z_2

2004.03410

$$\Delta \mathscr{L} = \frac{1}{2} g^{\mu\nu} \partial_{\mu} X \partial_{\nu} X - \frac{1}{2} M^2 X^2 + g^2 X^2 T^2 / 3 - \frac{\lambda}{4} X^4$$



And the correct amount of DM by classical oscillating field

$$p = \langle E_{kin} \rangle - \langle E_p \rangle = 0$$

$$g^2 \simeq 10^{-12} \times \left(\frac{\lambda}{10^{-6}}\right)^{6/5} \times \left(\frac{10^6\,\text{GeV}}{M}\right)^2$$

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strain: $\Omega_{GW}H_0^2 \equiv 2\pi^2 f^3 S/3$

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V(Power Spectral Density / Hz⁻¹)

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strain: $\Omega_{GW}H_0^2 \equiv 2\pi^2 f^3 S/3$

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Three Portals to the hidden World

Renormalizable interaction including SM field and new (hypothetical) fields singlets with respect to the SM gauge group

Attractive feature:

couplings are insensitive to energy in c.m.f., hence low energy experiments (intensity frontier) are favorable

• Scalar portal: SM Higgs doublet H and hidden scalar S

the simplest dark matter

$$\mathscr{L}_{\text{scalar portal}} = -\beta H^{\dagger} H S^{\dagger} S$$

• Spinor portal: SM lepton doublet L, Higgs congugate field $\tilde{H} = \varepsilon H^*$ and hidden fermion N sterile neutrino !!

$$\mathscr{L}_{spinor portal} = -y\overline{L}\widetilde{H}N$$

Vector portal: SM gauge field of U(1)_Y and gauge hidden field of abelian group U(1)'

$$\mathscr{L}_{\text{vector portal}} = -\frac{\varepsilon}{2} B_{\mu\nu}^{U(1)\gamma} B_{\mu\nu}^{U(1)'}$$

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Massive vectors (paraphotons)



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Searches for visible decays

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Searches for invisible mode

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Searches for dark matter

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Searches for dark matter

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Strong CP-problem and axion

 $L_{\theta} = \frac{\alpha_s}{8\pi} \left(\theta_0 + \operatorname{Arg} \left(\operatorname{Det} \hat{M}_q \right) \right) G^a_{\mu\nu} \tilde{G}^{\mu\nu a} \equiv \frac{\alpha_s}{8\pi} \theta G^a_{\mu\nu} \tilde{G}^{\mu\nu a} \equiv \partial_{\mu} K^{\mu} \qquad P-CP-\text{violation}$ tree-level and $U(1)_A$ -anomaly contributions, $\bar{q}_L \hat{M}_q q_R + h.c$ strong CP-problem Theory and Nature: neutron EDM $\theta < 10^{-9}$ nonantropic parameter!





Free scalar field as Cold Dark Matter (axion)

Homogeneous scalar field

 $\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$

at $m \ll H$ no evolution: $\phi = \text{const}$, at $m \gg H$ it oscillates, so

$$\rho = \frac{1}{2} \left(\frac{d\phi}{dt} \right)^2 + \frac{m^2}{2} \phi^2 = \langle E_k \rangle + \langle E_p \rangle = 2 \langle E_p \rangle , \quad \mathbf{p} = \frac{1}{2} \left(\frac{d\phi}{dt} \right)^2 - \frac{m^2}{2} \phi^2 = \langle E_k \rangle - \langle E_p \rangle = \mathbf{0} ,$$

behaves as nonrelativistic (dark) matter (dust-like component) !!

nonperturbative CP-violation in QCD

$$L_{\theta} = \frac{\alpha_s}{8\pi} \left(\theta_0 + \text{Arg}\left(\text{Det}\hat{M}_q\right) \right) G^a_{\mu\nu} \tilde{G}^{\mu\nu\,a} \equiv \frac{\alpha_s}{8\pi} \cdot \theta \cdot G^a_{\mu\nu} \tilde{G}^{\mu\nu\,a} \, .$$

$$\begin{split} \theta &\to \bar{\theta}(x) = \theta + C_g \frac{a(x)}{f_{PQ}} \ . \\ \mathscr{L} &= \frac{f_{PQ}^2}{2} \cdot \left(\frac{d\bar{\theta}}{dt}\right)^2 - \frac{m_a^2(T)}{2} f_{PQ}^2 \bar{\theta}^2 \ , \\ m_a(T) &\simeq 0 \ , T > \Lambda_{QCD} \quad \text{and} \quad m_a(T) \simeq m_a \simeq m_\pi f_\pi / f_{PQ} \end{split}$$

$$\Omega_a \simeq 0.2 \cdot \overline{\theta}_i^2 \cdot \left(\frac{4 \cdot 10^{-6} \text{ eV}}{m_a}\right) \cdot \frac{1}{2h^2}$$

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Very light scalars: more options

• mass implies huge phase space density !! e.g. galaxy: $\rho_{DM} = m_{\phi} \times n_{\phi}$, and $p \sim m_{\phi} \times v \sim 10^{-3} \times m_{\phi}$ typical phase space density is

$$f \sim rac{
ho_{DM}}{m_{\phi}} \left(rac{1}{m_{\phi} v}
ight)^3
ightarrow \infty$$

- even tiny interaction makes condensation !!
 - gravity: all particles in $L \sim 1/(m_{\phi}v)$ interact coherently

$$F_{drag} \propto m_{\phi}^2 imes G_N$$

- selfinteraction: ... e.g. axion

instability: attraction force

$$V = m_a^2 f_a^2 \left(1 - \cos\left(\frac{\phi}{f_a}\right) \right) \rightarrow \frac{1}{2} m_a^2 \phi^2 - \frac{1}{4!} \frac{m_a^2}{f_a^2} \phi^4$$

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Light sterile neutrinos in cosmology

Impact on processes

Big Bang Nucleosynthesis: increase of expansion rate

$$H^2 = \frac{8\pi}{3} G\rho, \quad \rho = \frac{\pi^2}{30} \left(2 \times T_{\gamma}^4 + 2 \times (3 + \Delta N_{\nu}) \times T_{\nu}^4 \right)$$

with $\Delta N_v > 0$ higher *H* neutrons freeze out earlier giving more Helium

- expansion rate at Equality, $\rho_{rad} = \rho_{mat}$, and at CMB epoch change of CMB anisotropy $-0.34 < \Delta N_v < 0.33$ (95% CL)
- become non-relativistic, but have high velocity free streaming leads to washing out of low-scale perturbations change galaxy spectrum limits on ΔN_v & neutrino masses

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Primordial Nucleosynthesis: solving kinetic equations

Neutrons freeze out ($p + e \leftrightarrow n + v_e$) at $T_n \approx 0.8 \text{ MeV}$, and then

 $p + n \leftrightarrow D + \gamma$

D survive γ -hitting later, at $T < T_{NS} \approx 65 \text{ keV}$

$$n_{^{4}\text{He}}(T_{NS}) = \frac{1}{2}n_{n}(T_{NS}),$$

neutron-to-proton ratio $\frac{n_n(T_{NS})}{n_p(T_{NS})} = e^{-\frac{m_n - m_p}{T_n}} \cdot e^{-\frac{t_{NS}}{T_n}} \cdot e^{-\frac{\mu_v}{T_n}} \approx \frac{1}{7} ,$ $Y_p \equiv X_{^4\text{He}} = \frac{m_{^4\text{He}} \cdot n_{^4\text{He}}(T_{NS})}{m_p(n_p(T_{NS}) + n_n(T_{NS}))} = \frac{2}{\frac{n_p(T_{NS})}{n_n(T_{NS})} + 1} \approx 25\%$

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BBN: extra-radiation and lepton asymmetry

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Sterile neutrinos: NEW ingredients

One of the optional physics beyond the SM:

sterile:new fermions uncharged under the SM gauge groupneutrino:explain observed oscillations by mixing with SM (active)neutrinos

Attractive features:

- possible to achieve within renormalizable theory
- only N = 2 Majorana neutrinos needed
- baryon asymmetry via leptogenesis
- dark matter (with $N \ge 3$ at least)
- light(?) sterile neutrinos might be responsible for neutrino anomalies...?

Disappointing feature:

Major part of parameter space is UNTESTABLE

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spin 0





Seesaw mechanism: $M_N \gg 1 \text{ eV}$

With $m_{active} \lesssim 1 \text{ eV}$ we work in the seesaw (type I) regime:

$$\mathscr{L}_{N} = \overline{N}i\partial N - f\overline{L}_{e}^{c}\widetilde{H}N - \frac{M_{N}}{2}\overline{N}^{c}N + \text{h.c.}$$

Higgs gains $\langle H \rangle = v / \sqrt{2}$ and then

$$\mathscr{V}_{N} = \frac{1}{2} \left(\overline{v}_{e}, \overline{N}^{c} \right) \begin{pmatrix} 0 & v \frac{f}{\sqrt{2}} \\ v \frac{f}{\sqrt{2}} & M_{N} \end{pmatrix} \begin{pmatrix} v_{e} \\ N \end{pmatrix} + \text{h.c.}$$

For a hierarchy $M_N \gg M^D = v \frac{f}{\sqrt{2}}$ we have

flavor state $v_e = Uv_1 + \theta N$ with $U \approx 1$ and

active-sterile mixing:
$$\theta = \frac{M^D}{M_N} = \frac{v f}{2M_N} \ll 1$$

and mass eigenvalues

$$\approx M_N$$
 and $-m_{active} = \theta^2 M_N \ll M_N$

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Violation of L, C and CP symmetries

$$\mathscr{L}_N = \overline{N}i\partial N - f\overline{L}_e^c \widetilde{H}N - \frac{M_N}{2}\overline{N}^c N + \text{h.c.}$$

- f = 0 \longrightarrow free fermion, no need to call 'sterile'
- $M_N = 0 \longrightarrow N$ and v form pure Dirac neutrino, the most boring case, worth than we have with the Higgs boson one may refuse to call it 'new physics'
- $f \neq 0$, $M_N \neq 0 \longrightarrow$ introduces new massive parameter, violates lepton symmetry *L* (and *C*- and *CP*-symmetry with several *N*'s)



Sterile neutrino: a vast region of mass

Within the seesaw paradigm, as far as

$$m_a \sim rac{f^2 v^2}{M_N^2} M_N \sim heta^2 M_N$$

Any set (mass scale M_N , Yukawa coupling f) is viable

And with special tunning or symmetry larger (but not smaller) mixing 3 sterile neutrinos is viable

$$\hat{m}_a \sim \hat{f}^T rac{1}{\hat{M}_N} \hat{f} v^2$$

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Sterile neutrino mass scale: $\hat{M}_v = -v^2 \hat{f}^T \hat{M}_N^{-1} \hat{f}$

NB: With fine tuning in \hat{M}_N and \hat{f} we can get a hierarchy in sterile neutrino masses, and 1 keV and even 1 eV sterile neutrinos



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Sterile neutrino lagrangian

Most general renormalizable with 2(3...) right-handed neutrinos N_l

$$\mathscr{L}_{N} = \overline{N}_{I} i \partial N_{I} - f_{\alpha I} \overline{L}_{\alpha} \widetilde{H} N_{I} - \frac{M_{N_{I}}}{2} \overline{N}_{I}^{c} N_{I} + \text{h.c.}$$

Parameters to be determined from experiments

9(7): active neutrino sector	11: <i>N</i> = 2 sterile neutrinos	18: $N = 3$ sterile neutrinos:
2 Δm_{ij}^2 : oscillation experiments 3 θ_{ij} : oscillation experiments 1 CP-phase: oscillation experiments 2(1) Majorana phases: 0 vee , 0 $v\mu\mu$ 1(0) m_v : ³ H \rightarrow ³ He+ $e+\bar{v}_e$, cosmology,	(works if $m_v = 0$!!!) 2: Majorana masses M_{N_I} 9: New Yukawa couplings $f_{\alpha I}$ which form 2: Dirac masses $M^D = f\langle H \rangle$ 3+1: mixing angles 2+1: CP-violating phases 4 new parameters in total	18: $N = 3$ sterile neutrinos:3: Majorana masses M_{N_i} 15: New Yukawa couplings $f_{\alpha I}$ which form3: Dirac masses $M^D = f\langle H \rangle$ 3+3: mixing angles3+3: CP-violating phases9 new parameters in total

Profit: can suggest why neutrinos are so light, $m_v \sim 0.1 - 0.01 \text{ eV}$

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Sterile neutrino: well-motivated keV-mass Dark Matter

massive fermions giving mass to active neutrino through mixing (seesaw)

$$m_a \sim \frac{f^2 v^2}{M_N^2} M_N \sim \theta^2 M_N$$

• unstable, $N \rightarrow vvv$ is always open by mixing but exceeding the age of the Universe if

(applicable for $M_N < M_W$)

$$\tau_{N\to 3\nu} \sim 1/\left(G_F^2 M_N^5 \theta_{\alpha N}^2\right) \implies \theta^2 < 1.5 \times 10^{-7} \left(\frac{50 \,\text{keV}}{M_N}\right)^5$$

• with seesaw relation $m_a \sim \theta^2 M_N$

$$au_{N
ightarrow3
u}\sim1/\left(G_F^2M_N^4m_{
u}
ight)\sim10^{11}\, ext{yr}\left(10\, ext{keV}/M_N
ight)^4$$



Sterile neutrino: indirect searches

$$m_a \sim rac{f^2 v^2}{M_N^2} M_N \sim heta^2 M_N$$

unstable, but exceeding the age of the Universe if

$$\frac{\theta^2}{3\times 10^{-3}} < \left(\frac{10\,\text{keV}}{M_N}\right)^5$$

 DM sterile neutrinos can be searched at X-ray telescopes because of two-body radiative decay
 give limits in absence of the feature



a narrow line
$$(\delta E_{\gamma}/E_{\gamma} \sim v \sim 10^{-3})$$

at photon frequency $E_{\gamma} = M_N/2$
 $\frac{\theta^2}{10^{-11}} \lesssim \left(\frac{10 \text{ keV}}{M_N}\right)^4$



... present searches: NuSTAR

2207.04572





Production in oscillations

$$\frac{\partial}{\partial t}f_{s}(t,\mathbf{p}) - H\mathbf{p}\frac{\partial}{\partial \mathbf{p}}f_{s}(t,\mathbf{p}) = \Gamma_{\alpha}P(v_{\alpha} \to v_{s})f_{\alpha}(t,\mathbf{p}).$$

 $\Gamma_{\alpha} \propto G_F^2 T^4 E$ is the weak interaction rate in plasma

$$\begin{split} P(v_{\alpha} \to v_{s}) &= \sin^{2} 2\theta_{\alpha}^{\text{mat}} \cdot \sin^{2} \left(\frac{t}{2t_{\alpha}^{\text{mat}}}\right), \\ t_{\alpha}^{\text{mat}} &= \frac{t_{\alpha}^{\text{vac}}}{\sqrt{\sin^{2} 2\theta_{\alpha} + (\cos 2\theta_{\alpha} - V_{\alpha\alpha} \cdot t_{\alpha}^{\text{vac}})^{2}}}, \\ \sin 2\theta_{\alpha}^{\text{mat}} &= \frac{t_{\alpha}^{\text{mat}}}{t_{\alpha}^{\text{vac}}} \cdot \sin 2\theta_{\alpha}, \quad t_{\alpha}^{\text{vac}} &= \frac{2E}{M_{N}^{2}} \end{split}$$

sign of the effective plasma potential matters:

as compared to vacuum

 $V_{\alpha\alpha} < 0 \implies$ mixing gets suppressed

 $V_{\alpha\alpha} > 0 \implies$ amplification via resonance

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Sakharov conditions of successful baryogenesis

- B-violation $(\Delta B \neq 0) XY \dots \rightarrow X'Y' \dots B$
- C- & CP-violation $(\Delta C \neq 0, \Delta CP \neq 0) \bar{X} \bar{Y} \cdots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium

 $X'Y'\ldots B\to XY\ldots$

Why $\Omega_B \sim \Omega_{DM}$?

antropic principle?



Example: EW Ist order Phase Transition



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Electroweak sphalerons: B - L

$$\partial^{\mu} j_{\mu}{}^{B} = 3 \frac{g^{2}}{16\pi^{2}} V^{a \ \mu\nu} \tilde{V}^{a}{}_{\mu\nu} ,$$

$$\partial^{\mu} j_{\mu}{}^{L_{\eta}} = \frac{g^{2}}{16\pi^{2}} V^{a \ \mu\nu} \tilde{V}^{a}{}_{\mu\nu} , \quad n = 1, 2, 3 ,$$

 $V^{a}_{\mu\nu} = \partial_{\mu} V^{a}_{\nu} - \partial_{\nu} V^{a}_{\mu} + g \varepsilon^{abc} V^{b}_{\mu} V^{c}_{\nu}$ refer to $SU(2)_{w}$, $\tilde{V}^{a}_{\mu\nu} = \frac{1}{2} \varepsilon_{\mu\nu\lambda\rho} V^{a\,\lambda\rho}$ Anomaly: only left fermions couple to fields V^{a}_{μ} . For nontrivial gauge fields in vacuum or plasma

$$\Delta B = B(t_f) - B(t_i) = \int_{t_i}^{t_f} dt \int d^3 \mathbf{x} \, \partial^{\mu} j^{\mu}_{\mu} = 3 \int_{t_i}^{t_f} d^4 \mathbf{x} \frac{g^2}{16\pi^2} \, V^{a \ \mu\nu} \, \tilde{V}^a_{\ \mu\nu} \, ,$$

Strong fields are needed: $V^a_{\mu\nu} \propto \frac{1}{g}$, (integral is natural number!). Energies of such configurations $\propto \frac{1}{a^2}$.

 $\Delta B = 3 \Delta L_e = 3 \Delta L_\mu = 3 \Delta L_\tau$

At temperatures 100 GeV $\lesssim T \lesssim 10^{12}$ GeV only 3 linear combinations survive, e.g.

$$B-L, \quad L_{\theta}-L_{\mu}, \quad L_{\theta}-L_{\eta}$$
$$L \equiv L_{\theta}+L_{\mu}+L_{\tau}$$

where

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Baryogenesis

Sakharov conditions of successful baryogenesis

- B-violation $(\Delta B \neq 0) XY \dots \rightarrow X'Y' \dots B$
- C- & CP-violation $(\Delta C \neq 0, \Delta CP \neq 0) \bar{X} \bar{Y} \dots \rightarrow \bar{X}' \bar{Y}' \dots \bar{B}$
- processes above are out of equilibrium $X'Y' \dots B \rightarrow XY \dots$

At 100 GeV $\lesssim T \lesssim 10^{12}$ GeV nonperturbative processes (EW-sphalerons) violate *B*, L_{α} , so that only three charges are conserved out of four, e.g.

$$\mathsf{B}-\mathsf{L}\,,\quad \mathsf{L}_{\boldsymbol{\theta}}-\mathsf{L}_{\boldsymbol{\mu}}\,,\quad \mathsf{L}_{\boldsymbol{\theta}}-\mathsf{L}_{\boldsymbol{\tau}}$$

and $B = \alpha \times (B-L), L = (\alpha - 1) \times (B-L)$

Leptogenesis: Baryogenesis from lepton asymmetry of the Universe ... due to sterile neutrinos

Why $\Omega_B \sim \Omega_{DM}$?

antropic principle?

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Lepton asymmetry from sterile neutrino decays

Most general renormalizable lagrangian with Majorana neutrinos N_l , $l, \alpha = 1, 2, 3$.

$$\mathscr{L}_{SM} + \overline{N}_I i \partial N_I - y_{I\alpha} \overline{L}_{\alpha} \widetilde{H} N_I - \frac{M_I}{2} \overline{N}_I^c N_I + \text{h.c.}$$

where $\widetilde{H}_i = \varepsilon_{ij}H_j^*$, i, j = 1, 2; complex Yukawas, Majorana mass: $\Delta L \neq 0$ lepton number violating processes ($N = N^c$!):

$$egin{aligned} N_I &
ightarrow h l_lpha \;, \quad N_I &
ightarrow h ar l_lpha \;, \ h l_lpha &
ightarrow h ar l_eta \;, \end{aligned}$$

- neutrino oscillations are explained
- BAU via leptogenesis (decays for $M_l > 10^9$ GeV or oscillations for light neutrinos, even $M_l \gtrsim 100$ MeV
- dark matter with $M_l \sim 1-100 \, \text{keV}$



Degeneracy for Leptogenesis

2008.13771



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Sterile neutrinos: production and decays



Interaction via neutral and charged weak hadronic currents





mixing with $v_{ au}$... $au^{\pm} o extsf{N} + extsf{H}^{\pm}$



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